PATENT APPLICATION

ATTORNEY'S DOCKET 069547.0172

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Michael (nmi) Sweeting

Title:

System and Method for Providing Futures Contracts

in a Financial Market Environment

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Under 37 C.F.R. §§ 1.56, .97, and .98, Applicant respectfully requests that the references listed in the attached PTO 1449 form be considered and cited in the examination of the Application. The present Application was filed after June 30, 2003. Therefore, under the July 11, 2003, waiver of 37 C.F.R. § 1.98(a)(2)(i) by the U.S. Patent and Trademark Office, copies of U.S. Patents and U.S. Patent Application Publications listed in the attached PTO 1449 form are not enclosed. Copies of all other references are enclosed for the convenience of the Examiner.

Applicant believes that no fee is due. However, if a fee is required, the Commissioner is hereby authorized to charge any fees or credit any overpayments to Deposit Account No. 02-0384 of Baker & Botts, L.L.P.

Respectfully submitted, BAKER BOTTS L.L.P. Attorneys for Applicant

Thomas J. Frame Reg. No. 47,232

Date: October 20, 2003

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Patent Trademark Office

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PTC)-1449			Application No.		Michael (nmi)	Applicant(s) Michael (nmi) Sweeting			
lr	nforn	nation Disclosur in an Application		Docket Number 069547.0172		Group Art Unit	Filing Date October 20, 2003			
				J.S. PATEI	NT DOCUME	NTS				
		DOCUMENT NO.	DATE		NAME	CLASS	SUBCLASS	FILING	DATE	
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